

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Adrian Gilbert et al. Examiner: A. DeCloux

U.S. Serial No.: 09/788,131 Group Art Unit: 1614

Filed : February 16, 2001

For : ORAL, NASAL AND PULMONARY DOSAGE FORMULATIONS OF

COPOLYMER 1

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July 2, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

# INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(b)(3)

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following Reference Items 1-164 (Exhibits 1-154) which are listed again on the accompanying Form PTO-1449 (Exhibit A). Applicants request that the Examiner review the references and make them of record in the subject application.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits in connection with the subject application. Accordingly, no fee is required and this Information Disclosure Statement shall be considered pursuant to 37 C.F.R. §1.97(b)(3).

For the convenience of the Examiner, applicants point out that Reference Items 8, 26, 28, 146-148, 156, 160-161, and 163 were cited in the May 24, 2001 International Search Report in the corresponding PCT International Application, and a copy of the Report is enclosed as **Exhibit B**.

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Applicants also point out that several of the listed references are counterparts of each other and are cumulative. Therefore, in accordance with 37 C.F.R. § 1.98(c), a counterpart of a reference is identified after the cite to the reference, but a copy of only one of the counterparts is being provided. Applicants will provide the Examiner with copies of any reference upon request.

- U.S. Patent No. 3,849,550, issued November 19, 1974
   (Teitelbaum, et al.) (Exhibit 1);
- 2. U.S. Patent No. 4,339,431, issued July 13, 1982 (Gaffar)
   (Exhibit 2);
- U.S. Patent No. 5,204,099, issued April 20, 1993 (Barbier, et al.) (Exhibit 3);
- 4. U.S. Patent No. 5,591,629, issued January 7, 1997 (Rodriguez et al.) (Exhibit 4);
- 5. U.S. Patent No. 5,627,206, issued May 6, 1997 (Hupe, et al.) (Exhibit 5);
- 6. U.S. Patent No. 5,668,117, issued September 16, 1997 (Shapiro) (Exhibit 6);
- 7. U.S. Patent No. 5,719,296, issued February 17, 1998 (Acton, III, et al.) (Exhibit 7);
- 8. U.S. Patent No. 5,800,808, issued September 1, 1998 (Konfino,

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et al.) (Exhibit 8);

- 9. U.S. Patent No. 5,858,964, issued January 12, 1999 (Aharoni, et al.) (Exhibit 9);
- 10. U.S. Patent No. 5,981,589, issued November 9, 1999 (Konfino,
   et al.) (Exhibit 10);
- 11. U.S. Patent No. 5,958,972, issued September 28, 1999 (Hupe, et al.) (Exhibit 11);
- 12. U.S. Patent No. 6,048,898, issued April 11, 2000 (Konfino, et al.) (Exhibit 12);
- 13. U.S. Patent No. 6,054,430, issued April 25, 2000 (Konfino, et
  al.) (Exhibit 13);
- 14. U.S. Patent No. 6,214,791, issued April 10, 2001 (Arnon, et
  al.) (Exhibit 14);
- 15. U.S. Patent No. 6,342,476, issued January 29, 2002 (Konfino,
  et al.) (Exhibit 15);
- 16. U.S. Serial No. 09/359,099, filed July 12, 1999 (Strominger et
  al.) (Exhibit 16);
- 17. U.S. Serial No. 09/405,743, filed September 24, 1999 (Gad et al.) (Exhibit 17);
- 18. U.S. Serial No. 09/768,872, filed January 23, 2001 (Aharoni et

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### al.) (Exhibit 18);

- 19. U.S. Serial No. 09/816,989, filed March 23, 2001 (Gad et al.).

  Applicants point out that this reference is a counterpart of
  U.S. Serial No. 09/405,743 (Exhibit 17);
- 20. U.S. Serial No. 09/875,429, filed June 5, 2001 (Yong and Chabot) (Exhibit 19);
- 21. U.S. Serial No. 09/885,227, filed June 20, 2001 (Rodriguez and Ure) (Exhibit 20);
- 22. PCT International Application No. PCT/US88/02139 (WO 88/10120), published December 29, 1988 (Weiner et al.) (Exhibit 21);
- 23. PCT International Application No. PCT/US95/06551 (WO 95/31990), published November 30, 1995 (Konfino et al.). Applicants point out that this reference is a counterpart of U.S. Patents Nos. 5,800,808 (Exhibit 8) and 6,342,476 (Exhibit 15);
- 24. PCT International Application No. PCT/EP95/02125 (WO 95/33475), published December 14, 1995 (Kott et al.) (Exhibit 22);
- 25. PCT International Application No. PCT/US98/00375 (WO 98/30227), published July 16, 1998 (Arnon et al.). Applicants point out that this reference is a counterpart of US Patent No. 6,214,791 (Exhibit 14);

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26. PCT International Application No. PCT/US99/16747 (WO 00/05250), published February 3, 2000 (Aharoni et al.). Applicants point out that this reference is a counterpart of U.S. Serial No. 09/768,872 (Exhibit 18);

- 27. PCT International Application No. PCT/US99/22402 (WO 00/18794), published April 6, 2000 (Gad et al.). Applicants point out that this reference is a counterpart of U.S. Serial No. 09/405,743 (Exhibit 17) and U.S. Serial No. 09/816,989;
- 28. PCT International Application No. PCT/US99/22836 (WO 00/20010), published April 13, 2000 (Flechter et al.) (Exhibit 23);
- 29. PCT International Application No. PCT/US99/27107 (WO 00/27417), published May 18, 2000 (Aharoni et al.)(Exhibit 24);
- 30. PCT International Application No. PCT/US99/16617 (WO 00/05249) published February 3, 2000 (Strominger et al.). Applicants point out that this reference is a counterpart of U.S. Serial No. 09/359,099 (Exhibit 16);
- 31. PCT International Application No. PCT/US01/05198 (WO 01/60392) published August 23, 2001 (Gilbert et al.). Applicants point out that this reference is a counterpart of the subject application;
- 32. PCT International Application No. PCT/US01/18248 (WO 01/93828) published December 13, 2001 (Yong and Chabot). Applicants point out that this reference is a counterpart of U.S. Serial No. 09/875,429 (Exhibit 19);

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- 33. PCT International Application No. PCT/US01/19649 (WO 01/97846) published December 27, 2001 (Rodriguez and Ure). Applicants point out that this reference is a counterpart of U.S. Serial No. 09/885,227 (Exhibit 20);
- 34. European Patent Application No. 0 383 620 A2, published August 22, 1990 (Cook) (Exhibit 25);
- 35. European Patent No. 0 359 783 B1, published November 29, 1995 (Weiner, et al.). Applicants point out that this reference is a counterpart of PCT International Application No. PCT/US88/02139 (Exhibit 21);
- 36. Teitelbaum, et al., "Suppression of Experimental Allergic Encephalomyelitis by a Synthetic Polypeptide", <u>Eur. J. Immunol.</u>, 1971, <u>1</u>, 242-248 (Exhibit 26);
- 37. Teitelbaum, et al., "Suppression of Experimental Allergic Encephalomyelitis by a Synthetic Polypeptide", <u>Israel J. Med. Sci.</u>, 1971, <u>7</u>, 630-631 (Abstract) (Exhibit 27);
- 38. Arnon, et al., "Suppression of Experimental Allergic Encephalomyelitis by a Synthetic Copolymer Immunological Cross Reactive with Basic Encephalitogen", <u>Israel J. Med. Sci.</u>, 1972, <u>8</u>, 1759-1760 (Exhibit 28);
- 39. Teitelbaum, et al., "Protection Against Experimental Allergic Encephalomyelitis", Nature, 1972, 240, 564-566 (Exhibit 29);
- 40. Webb, et al., "Further Studies on the Suppression of Experimental Allergic Encephalomyelitis by Synthetic

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- 41. Teitelbaum, et al., "Suppression of Experimental Allergic Encephalomyelitis with Basic Polymers", <u>Eur. J. Immunol.</u>, 1973, 3, 273-279 (Exhibit 31);
- 42. Webb, et al., "In Vivo and in Vitro Immunological Cross-reactions between Basic Encephalitogen and Synthetic Basic Polypeptides Capable of Suppressing Experimental Allergic Encephalomyelitis", <u>Eur. J. Immunol.</u>, 1973, <u>3</u>, 279-286 (Exhibit 32);
- 43. Teitelbaum, et al., "Dose-response Studies on Experimental Allergic Encephalomyelitis Suppression by COP-1", <u>Israel J. Med. Sci.</u>, 1974, <u>10</u>(9), 1172-1173 (Exhibit 33);
- 44. Teitelbaum, et al., "Suppression of Experimental Allergic Encephalomyelitis in Rhesus Monkeys by a Synthetic Basic Copolymer", Clin. Immunol. Immunopath., 1974, 3, 256-262 (Exhibit 34);
- 45. Webb, et al., "Suppression of Experimental Allergic Encephalomyelitis in Rhesus Monkeys by a Synthetic Basic Copolymer", <u>Isr. J. Med. Sci.</u>, 1975, <u>11</u>, 1388 (Abstract) (Exhibit 35);
- 46. Webb, et al., "Molecular Requirements Involved in Suppression of EAE by Synthetic Basic Copolymers of Amino Acids", <a href="Immunochem.">Immunochem.</a>, 1976, 13, 333-337 (Exhibit 36);

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- 48. Teitelbaum, et al., "Suppression of Experimental Allergic Encephalomyelitis in Baboons by Cop 1", <u>Israel J. Med. Sci.</u>, 1977, <u>13</u>, 1038 (Abstract) (Exhibit 38);
- 49. Arnon, et al., "Suppression of EAE in Baboons by a Synthetic Polymer of Amino Acids", Neurol., 1978, 28, 336 (Abstract) (Exhibit 39);
- 50. Sela, et al., "Experimental Allergic Encephalomyelitis" in Menarini Series on Immunopathology, vol. 1, First Symposium of Organ Specific Autoimmunity", Cremona, Italy, June, 1977, (Miescher P.A. ed., Schwabe Co., Basel, 1978), 9-21 (Exhibit 40);
- 51. Alvord, et al., "Myelin Basic Protein Treatment of Experimental Allergic Encephalomyelitis in Monkeys", Ann. Neurol., 1979, 6, 469-473 (Exhibit 41);
- 52. Keith, et al., "The Effect of COP 1, a Synthetic Polypeptide, on Chronic Relapsing Experimental Allergic Encephalomyelitis in Guinea Pigs" <u>J. Neurol. Sci.</u>, 1979, <u>42</u>, 267-274 (Exhibit 42);
- 53. Lando, et al., "Effect of Cyclophosphamide on Suppressor Cell Activity in Mice Unresponsive to EAE", <u>J. Immunol.</u>, 1979, <u>123</u>, 2156-2160. (Abstract) (Exhibit 43);

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- 55. Teitelbaum, et al., "Blocking of Sensitization to Encephalitogenic Basic Protein in Vitro by Synthetic Basic Copolymer (COP 1)" in Cell Biology and Immunology of Leukocyte Function (Academic Press, New York, 1979) 681-685 (Exhibit 45);
- 56. Teitelbaum, "Suppression of Experimental Allergic Encephalomyelitis with a Synthetic Copolymer Relevance to Multiple Sclerosis", in <a href="Humoral Immunity in Neurological Diseases">Humoral Immunity in Neurological Diseases</a> (Karcher D., Lowenthal A. & Strosberg A.D., eds., Plenum Publishing Corp., 1979) 609-613 (Exhibit 46);
- 57. Arnon, et al., "Desensitization of Experimental Allergic Encephalomyelitis with Synthetic Peptide Analogues" in <a href="The Suppression of Experimental Allergic Encephalomyelitis and Multiple Sclerosis">Multiple Sclerosis</a> (Academic Press, New York, 1980) 105-107 (Exhibit 47);
- 58. Arnon, "A Synthetic Copolymer of Amino Acids in a Clinical Trial for MS Therapy" in <u>Progress in Multiple Sclerosis</u>

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- 59. Bornstein, et al., "Treatment of Multiple Sclerosis with a Synthetic Polypeptide: Preliminary Results", <u>Ann. Neurol.</u>, 1980, <u>8</u>, 117 (Abstract) (Exhibit 49);
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- 62. Arnon, "Experimental Allergic Encephalomyelitis Susceptibility and Suppression", <u>Immunological Rev.</u>, 1981, <u>55</u>, 5-30 (Exhibit 52);
- 63. Bornstein, et al., "Multiple Sclerosis: Trial of a Synthetic Polypeptide", Ann. Neurol., 1982, 11, 317-319 (Exhibit 53);
- 64. Brosnan, et al., "The Response of Normal Human Lymphocytes to Copolymer 1", <u>J. Neuropath. Exp. Neurol.</u>, 1983, <u>42</u>, 356 (Abstract) (Exhibit 54);
- 65. Lisak, et al., "Effect of Treatment with Copolymer 1 (Cop-1) on the in Vivo and in Vitro Manifestations of Experimental Allergic Encephalomyelitis (EAE)", <u>J. Neurol. Sci.</u>, 1983, <u>62</u>, 281-293 (Exhibit 55);
- 66. Bornstein, et al., "Clinical Trials of Copolymer 1 in Multiple Sclerosis", Ann. N.Y. Acad. Sci. (USA), 1984, 366-372 (Exhibit 56);
- 67. Bornstein, et al., "Clinical Trials of a Synthetic Polypeptide (Copolymer 1) for the Treatment of Multiple Sclerosis" in Gonsett et al., Immunological and Clinical Aspects of Multiple

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- 69. Bornstein, et al., "Multiple Sclerosis: Clinical Trials of a Synthetic Polypeptide, Copolymer 1", Neurol., 1985, 35 (Suppl. 1), 103 (Abstract) (Exhibit 59);
- 70. Brosnan, et al., "Immunogenic Potentials of Copolymer 1 in Normal Human Lymphocytes", Neurol., 1985, 35, 1754-1759 (Exhibit 60);
- 71. Burns, et al., "Human Cellular Immune Response in Vitro to Copolymer 1 and Myelin Basic Protein (MBP)", Neurol., 1985, 35 (Suppl. 1), 170 (Abstract) (Exhibit 61);
- 72. Teitelbaum, et al., "Monoclonal Antibodies to Myelin Basic Protein Cross React with Synthetic EAE-suppressive Copolymer, COP 1" in Proc. 7<sup>th</sup> Eur. Immunol. Mtg., Jerusalem, September 8-13, 1985 (Abstract) (Exhibit 62);
- 73. Thompson, "MCQ Tutor: Medical Immunology Multiple Choice Questions", Immunol. Today, 1985, 6(4), 141 (Exhibit 63);
- 74. Burns, et al., "Human Cellular Immune Response to Copolymer 1 and Myelin Basic Protein", Neurol., 1986, 36, 92-94 (Exhibit 64);

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- 77. Rolak, "Copolymer-I Therapy for Multiple Sclerosis", <u>Clin.</u>

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- 78. Winer, "COP 1 Therapy for Multiple Sclerosis", New Eng. J. Med., 1987, 317(7), 442-444 (Exhibit 68);
- 79. Arnon, et al., "Suppression of Demyelinating Diseases by Synthetic Copolymers", in <u>A Multidisciplinary Approach to Myelin Disease</u> (G. Serlupi Crescenzi, ed., Plenum Publishing Corp., 1988) 243-250 (Exhibit 69);
- 80. Baumhefner, et al., "Copolymer 1 as Therapy for Multiple Sclerosis: The Cons", Neurol., 1988, 38 (Suppl. 2), 69-71 (Exhibit 70);
- 81. Bornstein, et al., "Clinical Experience with COP-1 in Multiple Sclerosis", Neurol., 1988, 38(Suppl. 2), 66-69 (Exhibit 71);
- 82. Teitelbaum, et al., "Specific Inhibition of the T-cell Response to Myelin Basic Protein by the Synthetic Copolymer Cop 1", Proc. Natl. Acad. Sci. USA, 1988, 85, 9724-9728 (Exhibit 72);

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- 86. Bornstein, et al., "Clinical Trials of Cop 1 in Multiple Sclerosis" in <u>Handbook of Multiple Sclerosis</u> (S.D. Cook Marcel Rekker, ed., 1990) 469-480 (Exhibit 76);
- 87. Carter, et al., "Newer Drug Therapies for Multiple Sclerosis",

  Drug Therapy, 1990, 31-32, 37-39, 42-43 (Exhibit 77);
- 88. Grgacic, et al., "Cell-mediated Immune Response to Copolymer

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- 91. Myers, et al., "The Peculiar Difficulties of Therapeutic Trials for Multiple Sclerosis", Neurologic Clinics, 1990, 8(1), 119-141 (Exhibit 81);
- 92. Sela, et al., "Suppressive Activity of COP-1 in EAE and its Relevance to Multiple Sclerosis", <u>Bull. Inst. Pasteur</u>, 1990, 88, 303-314 (Exhibit 82);
- 93. Starzl, <u>Transplantation Proceedings</u>, 1990, <u>22</u> (1, Suppl. 1), 5 (Exhibit 83);
- 94. Wender, "Copolymer 1 (COP-1) in the Treatment of Multiple Sclerosis (letter)" Neur. Neurochir. Pol., 1990, 24, 113 (Exhibit 84);
- 95. Bornstein, et al., "A Placebo-controlled, Double-blind, Randomized Two-center, Pilot Trial of Cop 1 in Chronic Progressive Multiple Sclerosis", Neurol., 1991, 41, 533-539 (Exhibit 85);
- 96. Burns, et al., "Failure of Copolymer 1 to Inhibit the Human T-cell Response to Myelin Basic Protein", Neurol., 1991, 41, 1317-1319, (Exhibit 86);

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- 98. Ferrara, et al., "Graft-Versus-Host Disease", New Eng. J. Med., 1991, 324, 667-674 (Exhibit 88);
- 99. Meiner, "COP-1 Multicenter Clinical Trial in Exacerbating-remitting Multiple-Sclerosis: One Year Follow-up", <u>J. Neurol.</u>, 1991(Suppl. 1) (Abstract) (Exhibit 89);
- 100. Rothbard, et al., "Interactions Between Immunogenic Peptides and MHC Proteins", <u>Ann. Rev. Immunol.</u>, 1991, <u>9</u>, 527-565 (Exhibit 90);
- 101. Salvetti, et al., "Myelin Basic Protein T Cell Epitopes in Patients with Multiple Sclerosis", <u>Department of Neurological Sciences</u>, <u>University of Rome</u>, <u>La Sapienza</u> 1991, 72 (Abstract) (Exhibit 91);
- 102. Teitelbaum, et al., "Cross-reactions and Specificities of Monoclonal Antibodies Against Myelin Basic Protein and Against the Synthetic Copolymer 1", Proc. Natl. Acad. Sci. (USA), 1991, 88, 9528-9532 (Exhibit 92);
- 103. Van den Bogaerde, et al., "Induction of Long-Term Survival of Hamster Heart Xenografts in Rats", <u>Transplantation</u>, 1991, <u>52</u>, 15-20 (Exhibit 93);
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- 106. Milo, et al., "Inhibition of Myelin Basic Protein-specific Human T-cell Lines by COP-1", <u>Israel J. Med. Sci.</u>, 1992, <u>28</u>, 486 (Abstract) (Exhibit 96);
- 107. Racke, et al., "Copolymer-1-induced Inhibition of Antigenspecific T Cell Activation: Interference with Antigen
  Presentation", J. Neuroimmunol., 1992, 37, 75-84 (Exhibit
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- 108. Teitelbaum, et al., "Synthetic Copolymer 1 Inhibits Human T-cell Lines Specific for Myelin Basic Protein", <a href="Proc. Natl.Acad.Sci. (USA)">Proc. Natl.Acad.Sci. (USA)</a>, 1992, <a href="#e9989">89</a>, 137-141 (Exhibit 98);
- 109. Weinshenker, et al., "Natural History and Treatment of Multiple Sclerosis", <u>Current Opinion in Neurol.</u> and <u>Neurosurgery</u>, 1992, <u>5</u>, 203-211 (Exhibit 99);
- 110. Aharoni, et al., "T Suppressor Hybridomas and Interleukin-2-Dependent Lines Induced by Copolymer 1 or by Spinal Cord Homogenate Down-Regulate Experimental Allergic Encephalomyelitis", <u>Eur. J. Immunol.</u>, 1993, <u>23</u>, 17-25 (Exhibit 100);
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- 112. Arnon, et al., "On the Existence of Suppressor Cells", <u>Int.</u>

  <u>Arch. Allergy Immunol.</u>, 1993, <u>100</u>, 2-7 (Exhibit 102);
- 113. Clinical Trial Protocol No. 9002, Lemmon Co. and Teva Pharmaceutical Industries, Ltd., first patient enrolled June 17, 1993 (Exhibit 103);
- 114. Francis, "The Current Therapy of Multiple Sclerosis", <u>J. Clin.</u>

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- 115. Keleman, et al., "Graft-versus-Host Disease in Bone Marrow Transplantation: Experimental, Laboratory, and Clinical Contributions of the Last Few Years", Int. Arch. Allergy Immunol., 1993, 102, 309-320 (Exhibit 105);
- 116. Gurevich, "Study of the MHC-competition Between BP and Cop 1
  Using Human Cytotoxic T-cell Clones", <u>Israel J. Med. Sci.</u>,

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- 117. Meiner, et al., "The Israeli COP-1 Multicenter Clinical Trial in Exacerbating-remitting Multiple Sclerosis Two-year Follow-up", in 9th Congress of the European Committee for Treatment and Research in Multiple Sclerosis, Florence (Italy), October-November, 1993, 48 (Abstract) (Exhibit 107);
- 118. Milo, et al., "Copolymer-1 (COP-1) Regulates Class II MHC Expression and Cytokine Synthesis in the THP-1 Monocyte-Macrophage Cell Line" in <a href="https://doi.org/10.1001/jhe-180">The IBC Conference on Multiple Sclerosis</a>, San Diego (USA), December 10, 1993 (Abstract)

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- 122. The COP-1 Multicenter Clinical and Research Group Study, "COP-1 Multicenter Trial in Relapsing Remitting Multiple Sclerosis:

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- 123. Cotton, "Options for Multiple Sclerosis Therapy", <u>J.A.M.A.</u>

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- 124. Dorling, et al., "Prospects for Xenografting", <u>Curr. Opinions</u>

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- 125. Fridkis-Hareli, et al., "Copolymer 1 Displaces MBP, PLP and MOG, but Can Not be Displaced by these Antigens from the MHC Class II Binding Site", <u>Department of Chemical Immunology</u>, The Weizmann Institute of Science, 1994 (Exhibit 115);
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Torino, 467-475 (Exhibit 154).

If a telephone conference would be of assistance in advancing the prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby give to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

that hereby certify correspondence is being deposited this date with the U.S. Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231

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